

# United States District Court

DISTRICT OF

SECURITIES AND EXCHANGE COMMISSION,

## SUMMONS IN A CIVIL CASE

V.

CASE NUMBER:

KAN KING WONG AND  
CHARLOTTE KA ON WONG LEUNG JT

**07 CV 3628**

TO: (Name and address of defendant)

**JUDGE SCHEINDLIN**

CHARLOTTE KA ON WONG LEUNG JT  
FLAT C, 20/F THE ALBANY,  
1 ALBANY ROAD MID-LEVELS  
HONG KONG

**YOU ARE HEREBY SUMMONED** and required to serve upon PLAINTIFF'S ATTORNEY (name and address)

JAN FOLENA  
SECURITIES AND EXCHANGE COMMISSION  
100 "F" STREET, NE  
WASHINGTON, DC 20549-4030  
(202) 551-4738

an answer to the complaint which is herewith served upon you, within 20 days after service of this summons upon you, exclusive of the day of service. If you fail to do so, judgment by default will be taken against you for the relief demanded in the complaint. You must also file your answer with the Clerk of this Court within a reasonable period of time after service.

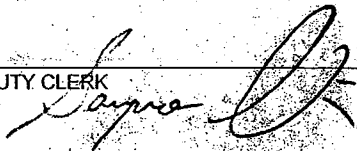
**MAY 08 2007**

**J. MICHAEL McMAHON**

CLERK

DATE

(BY) DEPUTY CLERK



AO 440 (Rev. 10/93) Summons In a Civil Action -SDNY WEB 4/99

## RETURN OF SERVICE

Service of the Summons and Complaint was made by me <sup>1</sup>	DATE <u>5/9/2007</u>
NAME OF SERVER (PRINT) <u>Christopher Chatfield</u>	TITLE <u>Merrill Lynch - Vice President</u>
Check one box below to indicate appropriate method of service	
<input type="checkbox"/> Served personally upon the defendant. Place where served: _____	
<input type="checkbox"/> Left copies thereof at the defendant's dwelling house or usual place of abode with a person of suitable age and discretion then residing therein. Name of person with whom the summons and complaint were left: _____	
<input type="checkbox"/> Returned unexecuted: _____	
<input checked="" type="checkbox"/> Other (specify): <u>Sent via UPS International to address of record (same address as on reverse of this document)</u>	

## STATEMENT OF SERVICE FEES

TRAVEL	SERVICES	TOTAL
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## DECLARATION OF SERVER

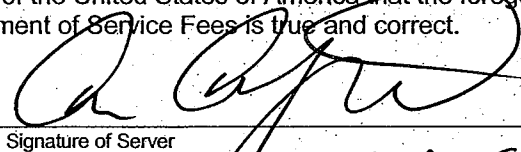
I declare under penalty of perjury under the laws of the United States of America that the foregoing information contained in the Return of Service and Statement of Service Fees is true and correct.

Executed on

Date

5/10/2007

Signature of Server

222 Broadway - 16th Fl  
New York, NY 10038

Address of Server

(1) As to who may serve a summons see Rule 4 of the Federal Rules of Civil Procedure.